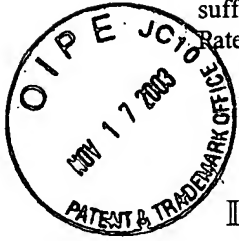


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By: _____

Hanna Hacham

11-13-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Li-Xi Yang

Serial No.: 10/612,240

Examiner: Unassigned

Confirmation No.: 3322

Art Unit: 1614

Filed: July 1, 2003

For: PODOPHYLLOTOXIN DERIVATIVES

Mail Stop ____
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ____ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.

- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.
- ☐ Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) ___. English language publication ___ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) ___ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) ___ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. ___.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

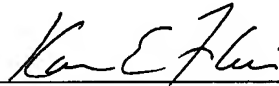
Dated: *12 November 2003*

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Respectfully submitted,
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By:



Karen E. Flick
Reg. No. 44, 111



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	10/612,240
Filing Date	July 1, 2003
First Named Inventor	Yang
Group Art Unit	1614
Examiner Name	Unassigned
Attorney Docket Number	CPMC-005/00US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	A1	3,524,844		Keller-Juslen	08-18-1970
	A2	4,965,348		Saulnier et al.	10-23-1990
	A3	6,096,336		Cao et al.	08-01-2000

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
	C1	Brodin et al., <i>Acta Pharm Suec.</i> 19: 267-284 (1982)	
	C2	Forssen, et al., <i>Cancer Res.</i> 52: 3255-3261 (1992)	
	C3	Fung et al., <i>Biomater. Artif. Cells. Artif. Organs</i> 16: 439 et seq. (1988)	
	C4	Gensler, et al., <i>J. Med. Chem.</i> 20:5 635-644 (1977)	
	C5	Hansen, et al., <i>Acta Chemi. Scand.</i> 47: 1190-1200 (1993)	
	C6	Khokhar, et al., <i>J. Med. Chem.</i> 34: 325-329 (1991)	
	C7	Lasic, <i>Nature</i> 335: 279-280 (1992)	
	C8	Lee et al., <i>Bio & Med. Chem. Ltrs.</i> 7:22: 2897-2902 (1997)	
	C9	Levy et al., <i>J. Pharm. Sci.</i> 72:10: 1158-1160 (1983)	
	C10	Perez-Soler, et al., <i>Cancer Res.</i> , 50: 4260-4266 (1990)	
	C11	Santangelo et al., <i>Syn. Comm.</i> , 26:15: 2863-2873 (1996)	
	C12	Supersaxo et al., <i>Pharm Res.</i> 8: 1280-1291 (1991)	
	C13	Thurston et al., <i>J. Med. Chem.</i> 32: 604-608 (1989)	
	C14	Yokoyama et al., <i>Cancer Res.</i> 51: 3229-3236 (1991)	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.